

Form PTO-1449 (modified)

Atty. Docket No.

UHGI:153US

Serial No.

10/056,236

List of Patents and Publications for Applicant's

Applicant

Richard S. Dick

INFORMATION DISCLOSURE STATEMENT

Filing Date:

January 23, 2002

Group:

2161

(Use several sheets if necessary)

U.S. Patent Documents

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Foreign Patent Documents

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Other Art

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## U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date     | Name                     | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|----------|--------------------------|-------|-----------|---------------------|
| DBC         | A31       | 09/883,884      |          | Dick                     |       |           | 6/18/01             |
|             | A32       | 09/596,810      |          | Dick                     |       |           | 6/13/00             |
|             | A33       | 2002/0111833    | 8/15/02  | Dick                     | 705   | 3         | 1/23/02             |
|             | A34       | 2001/0053986    | 12/20/01 | Dick                     | 705   | 3         | 2/27/01             |
|             | A35       | 5,065,315       | 11/12/91 | Garcia                   | 364   | 413.01    | 10/24/89            |
|             | A36       | 5,301,105       | 04/05/94 | Cummings                 | 364   | 401       | 04/08/91            |
|             | A37       | 5,659,741       | 08/19/97 | Eberhardt                | 395   | 615       | 04/17/95            |
|             | A38       | 5,799,282       | 8/25/98  | Rakshit <i>et al.</i>    | 705   | 2         | 5/19/92             |
|             | A39       | 5,832,488       | 11/03/98 | Eberhardt                | 707   | 10        | 1/22/97             |
|             | A40       | 5,850,442       | 12/15/98 | Muftic                   | 380   | 21        | 3/26/96             |
|             | A41       | 5,862,223       | 1/19/99  | Walker <i>et al.</i>     | 380   | 25        | 7/24/96             |
|             | A42       | 5,867,821       | 02/02/99 | Ballantyne <i>et al.</i> | 705   | 2         | 2/16/96             |
|             | A43       | 5,913,197       | 6/15/99  | Kameda                   | 705   | 3         | 11/7/96             |
|             | A44       | 5,960,085       | 9/28/99  | De la Hueraga            | 340   | 5.61      | 4/14/97             |
|             | A45       | 6,018,713       | 01/25/00 | Coli <i>et al.</i>       | 705   | 2         | 04/09/98            |
|             | A46       | 6,047,259       | 4/04/00  | Campbell <i>et al.</i>   | 705   | 3         | 12/30/97            |
|             | A47       | 6,073,106       | 06/06/00 | Rozen <i>et al.</i>      | 705   | 3         | 10/30/98            |
|             | A48       | 6,076,166       | 06/13/00 | Moshfeghi <i>et al.</i>  | 713   | 201       | 01/17/97            |
|             | A49       | 6,243,480       | 6/05/01  | Zhao <i>et al.</i>       | 382   | 100       | 4/30/98             |
|             | A50       | 6,269,348       | 7/31/01  | Pare <i>et al.</i>       | 705   | 39        | 1/29/99             |
|             | A51       | 6,370,139       | 4/09/02  | Scott                    | 370   | 354       | 10/24/97            |
| DBC         | A52       | 6,651,060       | 11/18/03 | Harper <i>et al.</i>     | 707   | 9         | 11/01/00            |

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EXAMINER:

Derek S. Gougeon

DATE CONSIDERED:

03/30/2006

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Applicants: RICHARD S. DICK  
 Serial No.: 10/056,236  
 Filing Date: JANUARY 23, 2002  
 For: METHOD AND APPARATUS FOR  
 REQUESTING RETRIEVING, AND OBTAINING  
 DE-IDENTIFIED MEDICAL INFORMATION

Atty Docket No. 9595.10  
 Group Art Unit: Not Yet Known



## U.S. Patent Documents

| Examiner<br>Initial* | Document<br>Number | Issue<br>Date | Name     | Class             | Sub<br>Class | Filing<br>Date |
|----------------------|--------------------|---------------|----------|-------------------|--------------|----------------|
| DBC                  | A1.                | 6,182,047     | 01/30/01 | DIRBAS            | 705 3        | 06/02/95       |
|                      | A2.                | 6,151,581     | 11/21/00 | KRAFTSON ET AL.   | 705 3        | 12/16/97       |
|                      | A3.                | 6,131,090     | 10/10/00 | BASSO, JR. ET AL. | 706 23       | 03/04/97       |
|                      | A4.                | 6,128,620     | 10/03/00 | PISSANOS ET AL.   | 707 102      | 02/02/99       |
|                      | A5.                | 6,125,350     | 09/26/00 | DIRBAS            | 705 2        | 09/20/95       |
|                      | A6.                | 6,088,695     | 07/11/00 | KARA              | 707 10       | 09/17/96       |
|                      | A7.                | 6,076,166     | 06/13/00 | MOSHFEGHI ET AL.  | 713 201      | 01/17/97       |
|                      | A8.                | 6,073,106     | 06/06/00 | ROZEN ET AL.      | 705 3        | 10/30/98       |
|                      | A9.                | 6,055,506     | 04/25/00 | FRASCA, JR.       | 705 3        | 04/25/97       |
|                      | A10.               | 6,034,605     | 03/07/00 | MARCH             | 340 573.1    | 12/08/98       |
|                      | A11.               | 6,026,363     | 02/15/00 | SHEPARD           | 705 3        | 01/06/98       |
|                      | A12.               | 6,018,713     | 01/25/00 | COLI ET AL.       | 705 2        | 04/09/98       |
|                      | A13.               | 5,995,939     | 11/30/99 | BERMAN ET AL.     | 705 3        | 10/14/97       |
|                      | A14.               | 5,991,730     | 11/23/99 | LUBIN ET AL.      | 705 3        | 10/08/97       |
|                      | A15.               | 5,974,389     | 10/26/99 | CLARK ET AL.      | 705 3        | 03/01/96       |
|                      | A16.               | 5,953,809     | 09/21/99 | KOWALSKI          | 29 521       | 09/25/97       |
|                      | A17.               | 5,924,074     | 07/13/99 | EVANS             | 705 3        | 09/27/96       |
|                      | A18.               | 5,913,197     | 06/15/99 | KAMEDA            | 705 3        | 11/07/96       |
|                      | A19.               | 5,899,998     | 05/04/99 | McGAULEY ET AL.   | 707 104      | 08/31/95       |
|                      | A20.               | 5,890,129     | 03/30/99 | SPURGEON          | 705 4        | 05/30/97       |
|                      | A21.               | 5,867,821     | 02/02/99 | BALLANTYNE ET AL. | 705 2        | 02/16/96       |
| DBC                  | A22.               | 5,823,948     | 10/20/98 | ROSS, JR. ET AL.  | 600 300      | 07/08/96       |

Examiner:

Dilek B. Cobanlar

Date Considered:

3/30/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|                 |           |          |               |     |        |          |
|-----------------|-----------|----------|---------------|-----|--------|----------|
| <u>DBC</u> A23. | 5,832,450 | 11/03/98 | MYERS ET AL.  | 705 | 3      | 05/05/97 |
| A24.            | 5,812,983 | 09/22/98 | KUMAGAI       | 705 | 3      | 08/03/95 |
| A25.            | 5,772,585 | 06/30/98 | LAVIN ET AL.  | 600 | 300    | 08/30/96 |
| A26.            | 5,644,778 | 07/01/97 | BURKS ET AL.  | 395 | 800    | 11/02/93 |
| A27.            | 5,579,393 | 11/26/96 | CONNER ET AL. | 380 | 25     | 06/21/94 |
| A28.            | 5,499,293 | 03/12/96 | BEHRAM ET AL. | 380 | 4      | 01/24/95 |
| <u>↓</u> A29.   | 5,327,341 | 07/05/94 | WHALEN ET AL. | 364 | 413.01 | 10/28/91 |
| <u>DBC</u> A30. | 5,291,399 | 03/01/94 | CHACO         | 364 | 413.02 | 07/27/90 |

#### Prior Art Cited by Applicants

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

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Examiner:

*Dale R. Chausse*

Date Considered:

03/30/2006

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See Page 1

## Foreign Patent Documents

| Exam.<br>Init. | Ref.<br>Des. | Document<br>Number | Date    | Country | Class | Sub<br>Class | Translation<br>Yes/No |
|----------------|--------------|--------------------|---------|---------|-------|--------------|-----------------------|
| DBC            | B1           | DE 3943097         | 07/1991 | Germany |       |              | Abstract              |

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam.<br>Init. | Ref.<br>Des. | Citation |
|----------------|--------------|----------|
| DBS            |              |          |

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